

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kendra Gallup, et al.

Serial No.: 10/665,680

Examiner: Dinh D. Chiem

Filing Date: September 19, 2003

Group Art Unit: 2883

Title: OPTICAL DEVICE PACKAGE WITH TURNING MIRROR AND ALIGNMENT POST

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with **either** a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), **and**
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☒ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ FEE AUTHORIZATION

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

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- ☒ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile: May 8, 2007

Typed Name: Rhonda M. Smyth

Signature: Rhonda M. Smyth

Respectfully submitted,
Kendra Gallup, et al.

By John R. Pessetto

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Date: May 8, 2007

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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

10030768-1

SERIAL NO.

10/665,680

APPLICANT

Kendra J. Gallup, et al

FILING DATE

September 19, 2003

GROUP

2883

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME
/E.C./	6,267,515	July 31, 2001	Okuda, et al.

FOREIGN PATENT DOCUMENT

	DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
/E.C./	WO2004/042320	21.05.2004	Photodigm, Inc.		
/E.C./	DE19810624	16.09.1999	Robert Bosch GmbH		
/E.C./	DE3834335	12.04.1990	Telefunken Systemtechnik		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/E.C./	German Office Action dated February 15, 2007 involving counterpart German Patent Application No. 10 2004 025 661.6-54
/E.C./	English translation of German Office Action dated March 23, 2007 involving counterpart German Patent Application No. 10 2004 025 661.6-54
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EXAMINER	/Erin Chiem/
DATE CONSIDERED	01/07/2008

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)